

FEB 14 2002

## TRANSMITTAL OF FORMAL DRAWINGS

Docket No.  
SSBI-P01-003

In Re Application Of: Parsons, et al.

2164  
Z-2  
Z-26-02

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/994,975	November 27, 2001	Not Yet Assigned	Not Yet Assigned	2166

Invention: VERIFICATION OF NET ASSET VALUES

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

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FEB 22 2002

Technology Center 2100

Transmitted herewith are:

5 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.



Signature

Robert A. Mazzarese

Reg. No. 42,852

Ropes &amp; Gray

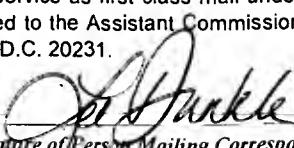
One International Place

Boston, MA 02110

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Customer No. 28120

Dated: January 28, 2002

I certify that this document and attached formal drawings are being deposited on  with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Lee Dunkle

Typed or Printed Name of Person Mailing Correspondence



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of:

Parsons, et al.

Serial No: 09/994,975

Filed: November 27, 2001

For: VERIFICATION OF NET ASSET  
VALUES

COPY OF PAPERS  
ORIGINALLY FILED

Attorney Docket No. SSBI-P01-0030

COPY OF PAPERS  
ORIGINALLY FILED

Art Unit: 2166

Examiner: Not Assigned

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this Request for Correction of Filing Receipt is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner for Patents, Washington, D.C. 20231, on January 28, 2002.

*Elisabeth Dunkle*  
Elisabeth Dunkle

**REQUEST FOR CORRECTION OF FILING RECEIPT**

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MAR 05 2002

Technology Center 2100

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Please make the following corrections in the formal Filing Receipt, a copy of which is enclosed and marked in red for your convenience, for the above-identified patent application:

Under the heading of Applicant(s), please delete the residence address for the inventor:

**“Taunion, MA ” and add “Taunton, MA”**

A copy of the Filing Receipt with the change noted thereon is submitted herewith.

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 951-7000.

Respectfully Submitted,

Robert A. Mazzarese  
Reg. No. 42,852  
Tel. (617) 951-7000  
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Date: January 28, 2002

**Customer No: 28120**  
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# UNITED STATES PATENT AND TRADEMARK OFFICE

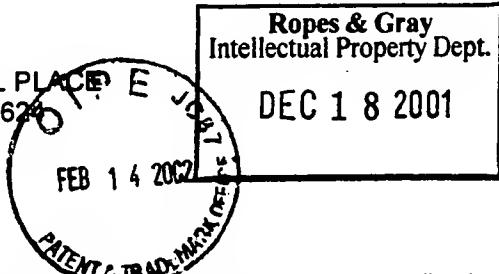
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/994,975	11/27/2001	2166	962	SSBI-P01-003	5	23	5

CONFIRMATION NO. 2850

28120  
ROPS & GRAY  
ONE INTERNATIONAL PLACE E  
BOSTON, MA 02110-2620



FILING RECEIPT



\*OC00000000718890\*

Date Mailed: 12/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

TAUNTON  
Robin L. Parsons, Taunton, MA;  
Thomas F. Ray, Weymouth, MA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 12/12/2001

Projected Publication Date: 05/29/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Verification of net asset values

**Preliminary Class**

705

R&G  
Docket No.: SSBI-P01-003  
Action: Review FR / References  
Stat. Deadline: 13

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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3/11/02



Bib Data Sheet

CONFIRMATION NO. 2850

SERIAL NUMBER 09/994,975	FILING DATE 11/27/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. SSBI-P01-003
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## APPLICANTS

Robin L. Parsons, Taunton, MA;  
Thomas F. Ray, Weymouth, MA;

## \*\* CONTINUING DATA \*\*\*\*\*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 12/12/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY MA	SHEETS DRAWING 5	TOTAL CLAIMS 23	INDEPENDENT CLAIMS 5
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## ADDRESS

28120

## TITLE

Verification of net asset values

FILING FEE RECEIVED 962	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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